

Modal Crossing Treatment Using Group Theory

Michal Mašek¹, Miloslav Čapek¹, Lukáš Jelínek¹, and Kurt Schab²

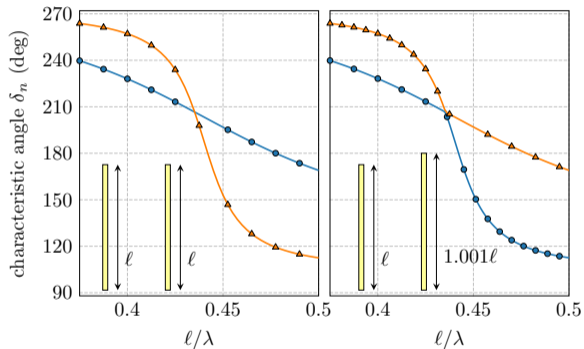
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Santa Clara, USA

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1. Motivation
2. Point Group Application
3. Examples
4. Conclusion



The first odd and even characteristic modes in the vicinity of their resonance. Two dipoles of the same length (left) and with slightly different lengths (right).

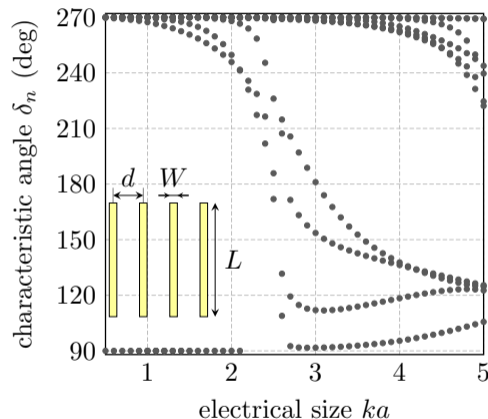


Motivation

- Generalized eigenvalue problem¹:

$$\mathbf{A}(p) \mathbf{I}_n(p) = \lambda_n(p) \mathbf{B}(p) \mathbf{I}_n(p).$$

$$\delta_n = 180^\circ \left(1 - \frac{1}{\pi} \arctan(\lambda_n) \right)$$



Raw modes of four dipoles.

¹Illustrated on characteristic modes: $\mathbf{A} = \mathbf{X}$, $\mathbf{B} = \mathbf{R}$, $\mathbf{Z} = \mathbf{R} + j\mathbf{X}$ is an impedance matrix.



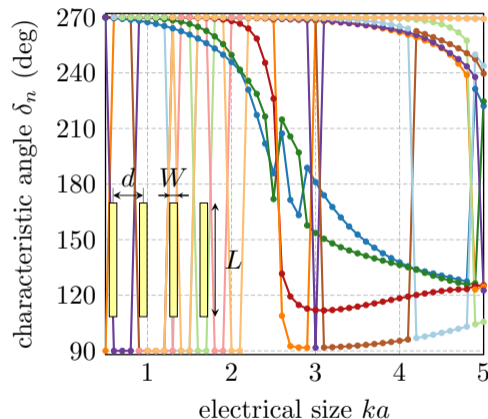
Motivation

- Generalized eigenvalue problem¹:

$$\mathbf{A}(p) \mathbf{I}_n(p) = \lambda_n(p) \mathbf{B}(p) \mathbf{I}_n(p).$$

- Tracking is required to get $\lambda_n(p)$ as smooth function over p .

$$\delta_n = 180^\circ \left(1 - \frac{1}{\pi} \arctan(\lambda_n) \right)$$



Untracked modes of four dipoles.

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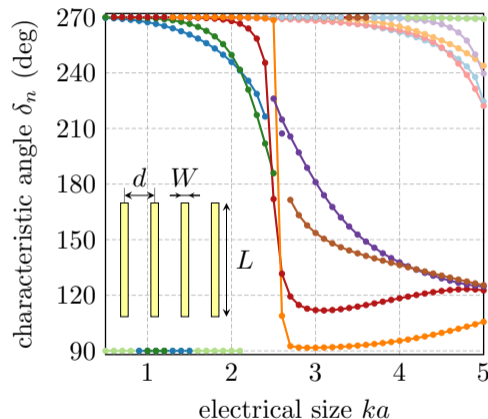
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- Generalized eigenvalue problem¹:

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- Tracking is required to get $\lambda_n(p)$ as smooth function over p .
- Current techniques are based on correlation methods.

$$\delta_n = 180^\circ \left(1 - \frac{1}{\pi} \arctan(\lambda_n) \right)$$



Tracked modes of four dipoles.

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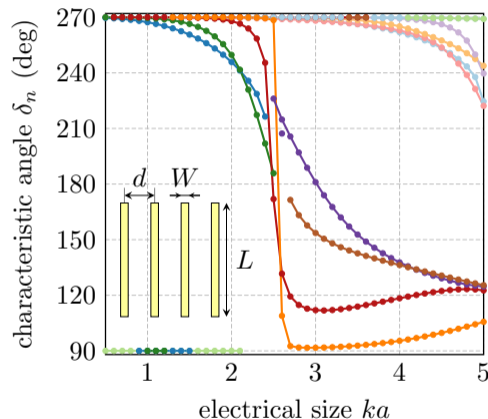
Motivation

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- Tracking is required to get $\lambda_n(p)$ as smooth function over p .
- Current techniques are based on correlation methods.
- Are the results tracked properly?

$$\delta_n = 180^\circ \left(1 - \frac{1}{\pi} \arctan(\lambda_n) \right)$$



Tracked modes of four dipoles.

¹Illustrated on characteristic modes: $\mathbf{A} = \mathbf{X}$, $\mathbf{B} = \mathbf{R}$, $\mathbf{Z} = \mathbf{R} + j\mathbf{X}$ is an impedance matrix.

Initial Theorems



von Neumann-Wigner theorem²

Crossing of modes' eigenvalue traces are possible only between modes belonging to the different (irreducible) representation. Eigenvalue traces of modes in the same irreducible representation can not cross.

²J. von Neumann and E. Wigner, "On the behaviour of eigenvalues in adiabatic processes," in *Quantum Chemistry: Classic Scientific Papers*. Singapore: World Scientific, 2000



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- | Having non-symmetric structure³: “Characteristic values $\lambda_n(p)$ of non-symmetric structures can not cross each other.”

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Initial Theorems

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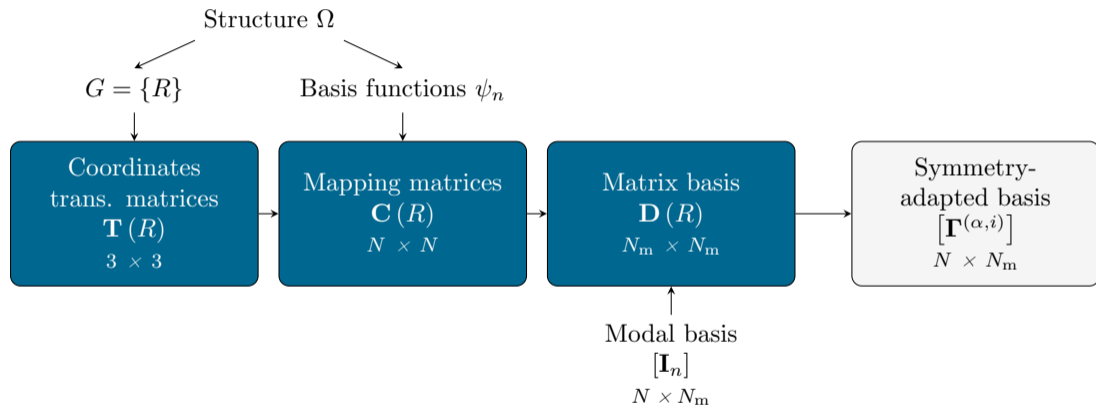
- | Having non-symmetric structure³: “Characteristic values $\lambda_n(p)$ of non-symmetric structures can not cross each other.”
- | **Question:** How to divide modes of symmetrical structures into irreducible representations?

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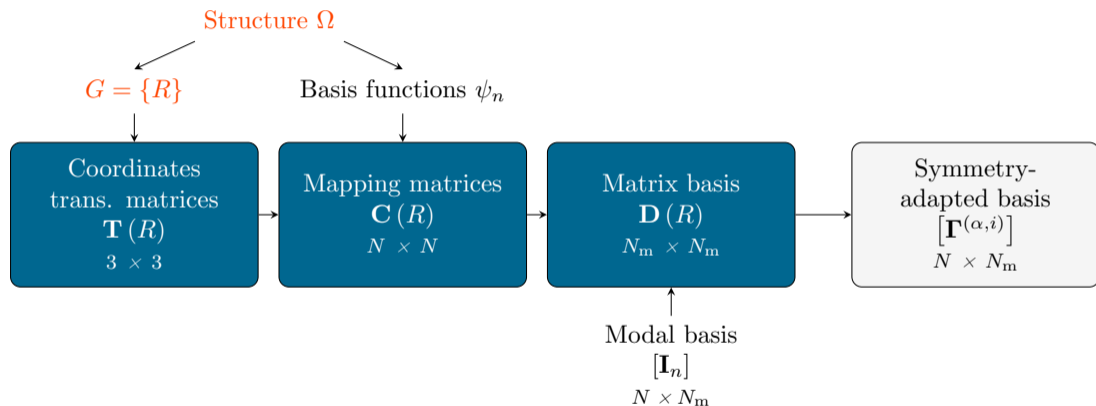
Classification of Modes⁴



⁴M. Masek, M. Capek, L. Jelinek, *et al.*, “Modal tracking based on group theory,” , Dec. 7, 2018, eprint arXiv: 1812.03006. [Online]. Available: <https://arxiv.org/abs/1812.03006>



Classification of Modes⁴

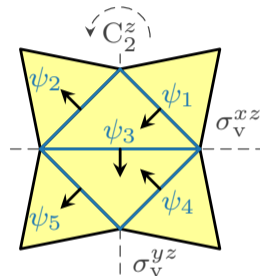


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Classification of Modes⁴

- | Symmetrical structure with symmetrical mesh.
- | Let us suppose known set of symmetry operations $G = \{R\}$.
 - | E – identity operation,
 - | σ_v^{yz} – reflection by yz plane,
 - | σ_v^{xz} – reflection by xz plane,
 - | C_2^z – rotation by π around z axes.

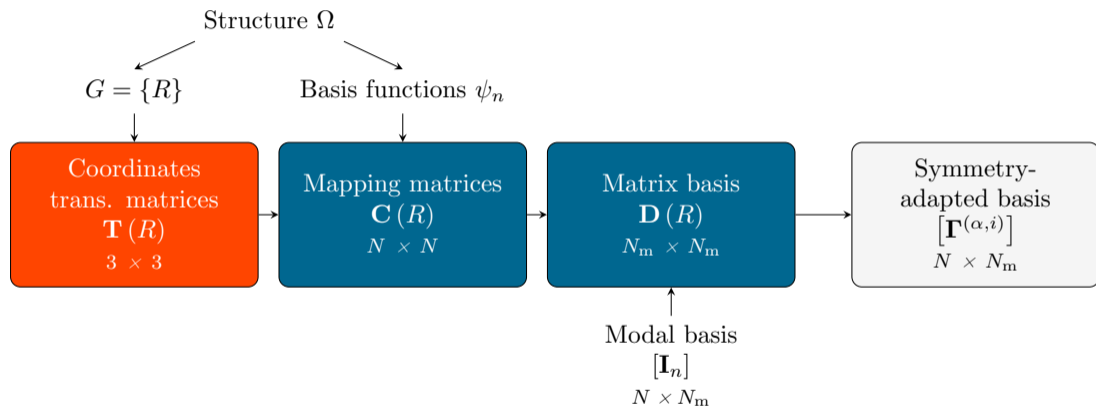


Structure with five basis functions (Group C_{2v}).

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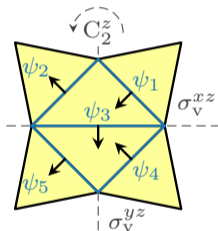


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Classification of Modes⁴

- Each operation R is represented by 3×3 coordinate transform matrix $\mathbf{T}(R)$.



$$\mathbf{T}(E) = \begin{bmatrix} +1 & 0 & 0 \\ 0 & +1 & 0 \\ 0 & 0 & +1 \end{bmatrix}$$

$$\mathbf{T}(\sigma_v^{yz}) = \begin{bmatrix} -1 & 0 & 0 \\ 0 & +1 & 0 \\ 0 & 0 & +1 \end{bmatrix}$$

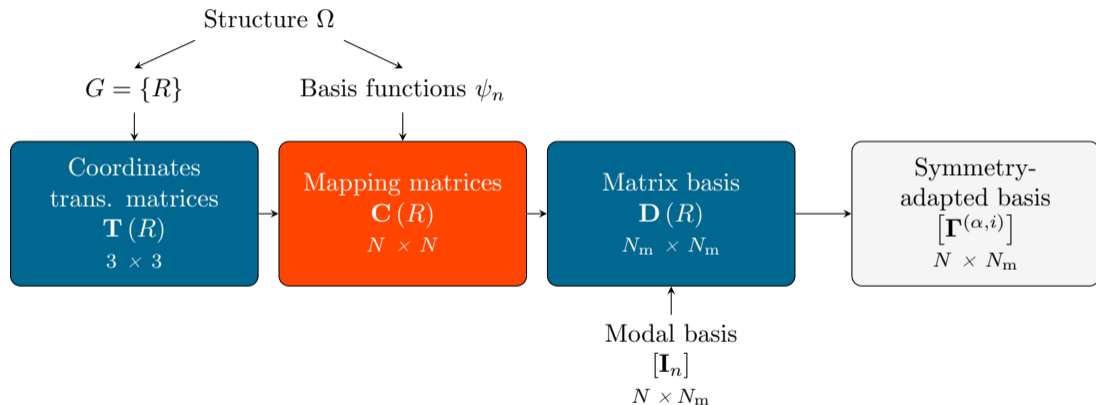
$$\mathbf{T}(\sigma_v^{xz}) = \begin{bmatrix} +1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & +1 \end{bmatrix}$$

$$\mathbf{T}(C_2^z) = \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & +1 \end{bmatrix}$$

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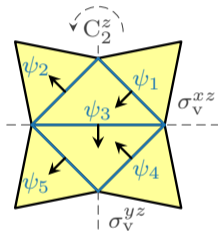


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Classification of Modes⁴

| Construction⁵ of mapping matrices $\mathbf{C}(R)$.



$$\mathbf{C}(\sigma_v^{yz}) = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & +1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 \end{bmatrix}$$

$$\mathbf{C}(\sigma_v^{xz}) = \begin{bmatrix} 0 & 0 & 0 & +1 & 0 \\ 0 & 0 & 0 & 0 & +1 \\ 0 & 0 & 1 & 0 & 0 \\ +1 & 0 & 0 & 0 & 0 \\ 0 & +1 & 0 & 0 & 0 \end{bmatrix}$$

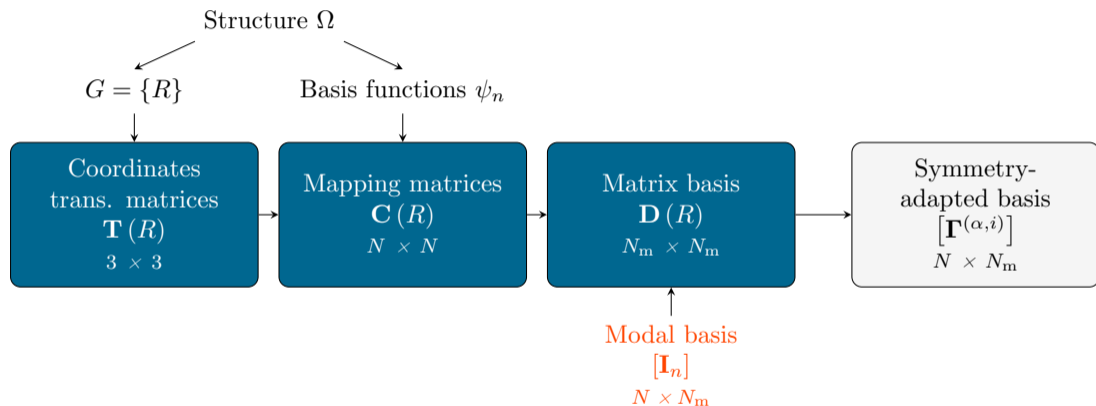
$$\mathbf{C}(C_2^z) = \begin{bmatrix} 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{bmatrix}$$

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⁵An identity operation E ins not shown explicitly.



Classification of Modes⁴



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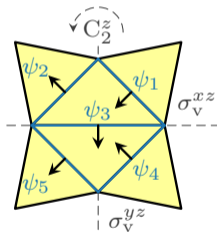


Classification of Modes⁴

| Compute modal basis $[\mathbf{I}_n]$.

$$\mathbf{X}\mathbf{I}_n = \lambda_n \mathbf{R}\mathbf{I}_n$$

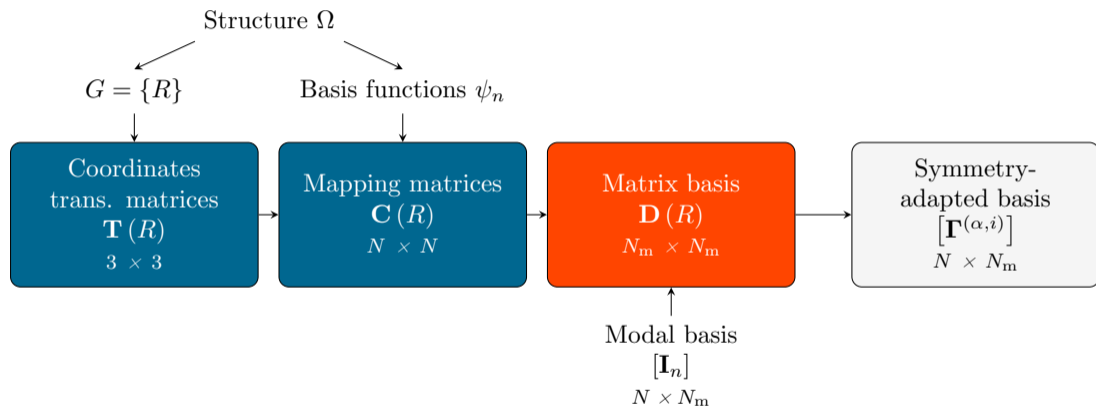
$$[\mathbf{I}_n] = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 \\ 1.76 & 0 & 0 & 0 & 1.62 \\ 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$



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Classification of Modes⁴

| Representation in modal basis:

$$\mathbf{C}(R) [\mathbf{I}] = [\mathbf{I}] \mathbf{D}(R)$$

$$[\mathbf{I}_n] = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 \\ 1.76 & 0 & 0 & 0 & 1.62 \\ 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

$$\mathbf{D}(\sigma_v^{yz}) = \begin{bmatrix} +1 & 0 & 0 & 0 & 0 \\ 0 & +1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & +1 \end{bmatrix}$$

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$$\mathbf{D}(C_2^z) = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & +1 & 0 & 0 & 0 \\ 0 & 0 & +1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

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Classification of Modes⁴

- Representation in modal basis:

$$\mathbf{C}(R) [\mathbf{I}] = [\mathbf{I}] \mathbf{D}(R)$$

- Classification of modes – unique combinations of blocks in $\mathbf{D}(R)$ matrices.

$$[\mathbf{I}_n] = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 \\ 1.76 & 0 & 0 & 0 & 1.62 \\ 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

$$\mathbf{D}(\sigma_v^{yz}) = \begin{bmatrix} +1 & 0 & 0 & 0 & 0 \\ 0 & +1 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & +1 \end{bmatrix}$$

$$\mathbf{D}(\sigma_v^{xz}) = \begin{bmatrix} -1 & 0 & 0 & 0 & 0 \\ 0 & +1 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & +1 & 0 \\ 0 & 0 & 0 & 0 & -1 \end{bmatrix}$$

$$\mathbf{D}(C_2^z) = \begin{bmatrix} -1 & 0 & 0 & 0 & 0 \\ 0 & +1 & 0 & 0 & 0 \\ 0 & 0 & +1 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & -1 \end{bmatrix}$$

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Classification of Modes

| Character table⁶

| Values are traces of blocks.

irrep α	E	σ_v^{yz}	σ_v^{xz}	C_2^z
A ₁	+1	+1	+1	+1
A ₂	+1	-1	-1	+1
B ₁	+1	-1	+1	-1
B ₂	+1	+1	-1	-1

Character table for C_{2v} group.

$$D(\sigma_v^{yz}) = \begin{bmatrix} +1 & 0 & 0 & 0 & 0 \\ 0 & +1 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & +1 \end{bmatrix}$$

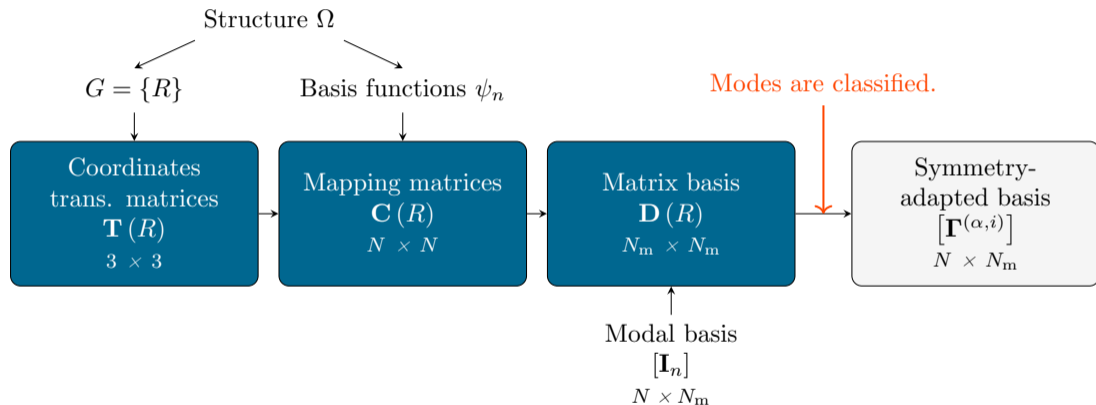
$$D(\sigma_v^{xz}) = \begin{bmatrix} -1 & 0 & 0 & 0 & 0 \\ 0 & +1 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & +1 & 0 \\ 0 & 0 & 0 & 0 & -1 \end{bmatrix}$$

$$D(C_2^z) = \begin{bmatrix} -1 & 0 & 0 & 0 & 0 \\ 0 & +1 & 0 & 0 & 0 \\ 0 & 0 & +1 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & -1 \end{bmatrix}$$

⁶R. McWeeny, *Symmetry: An Introduction to Group Theory and Its Applications*. London: Pergamon Press, 1963



Classification of Modes⁴



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Modal Tracking

Tracking simplification

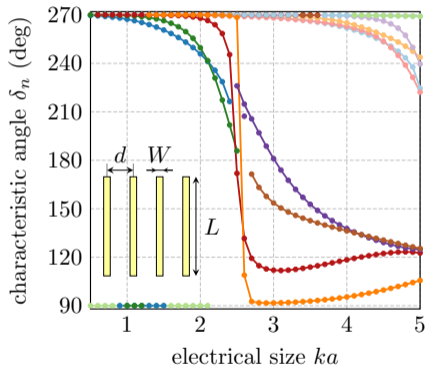
Modes within each irreducible representation can not cross.



Tracking algorithm can be replaced by sort.



Four-dipole Array



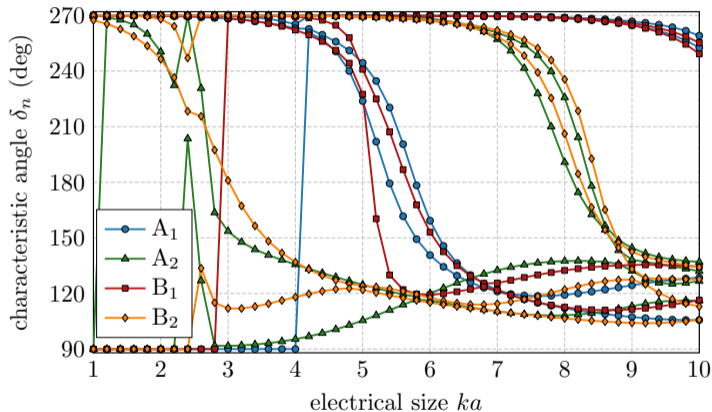
Tracked modes of four dipoles.

group C_{2v}	ka_{res}	\mathbf{I}_1	\mathbf{I}_2
A_2	2.8	↓ ↓ ↑ ↑	↑ ↓ ↓ ↓
B_2	2.8	↑ ↑ ↑ ↑	↑ ↓ ↓ ↑
A_1	5.6	↑ ↓ ↑ ↓ ↓ ↓ ↓ ↓	↓ ↑ ↑ ↓ ↑ ↓ ↓ ↑
B_1	5.6	↑ ↓ ↓ ↓ ↓ ↓ ↑ ↑	↑ ↓ ↓ ↓ ↓ ↑ ↑ ↑

Current distribution of the first two modes of each irrep of the four-dipole array. Only directions of currents on each dipole is depicted, different amplitudes are not considered.



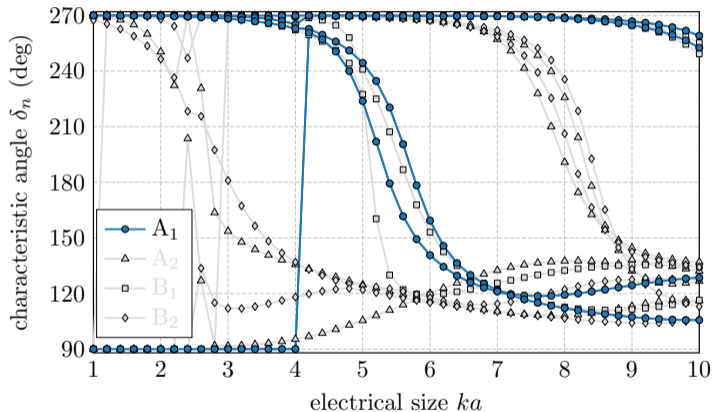
Four-dipole Array



Tracked characteristic modes of four-dipole array.



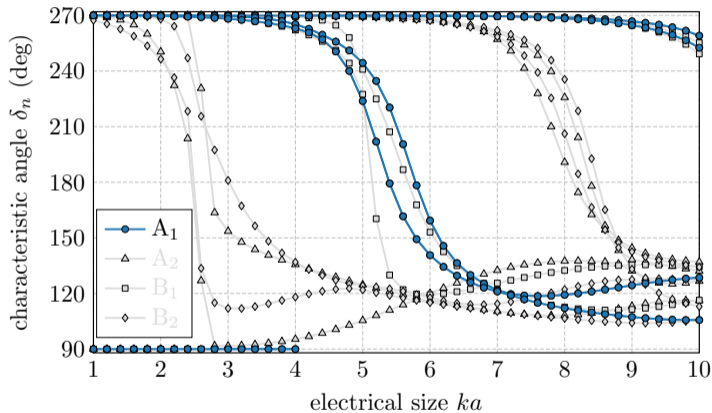
Four-dipole Array



Tracked characteristic modes of four-dipole array.



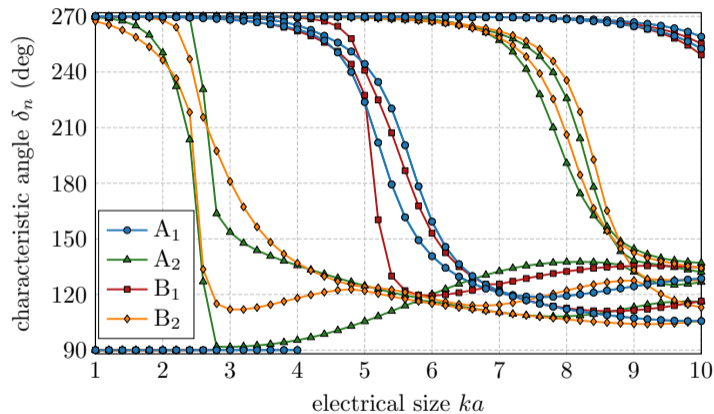
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Tracked characteristic modes of four-dipole array.



Four-dipole Array



Tracked characteristic modes of four-dipole array.

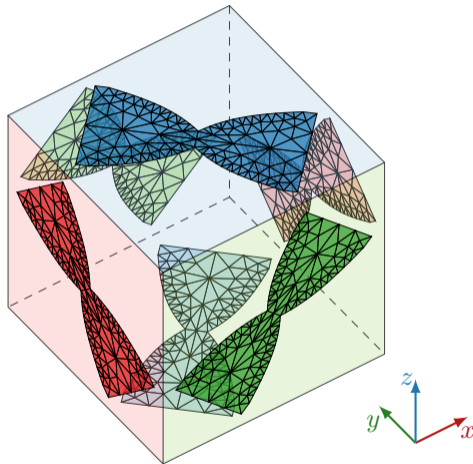


A Cubic Array

- | Six symmetrical bowtie antennas.
- | Point group T_d .

T_d	E	$8C_3$	$3C_2$	$6S_4$	$6\sigma_d$
A_1	+1	+1	+1	+1	+1
A_2	+1	+1	+1	-1	-1
E	+2	-1	+2	0	0
T_1	+3	0	-1	+1	-1
T_2	+3	0	-1	-1	+1

Character table for point group T_d .





A Cubic Array

Tracked characteristic modes of bowtie cube.



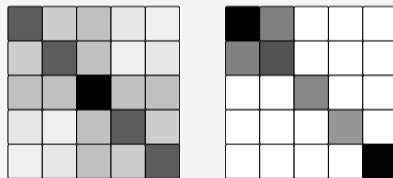
Conclusion

- | Deterministic and **exact solution** of modal tracking via the theory of point groups.
- | Other applications:
 - | Symmetry-adapted basis to block-diagonalize impedance matrix⁴.
 - | Uncorrelated channels for MIMO systems.

Block-diagonalization of the impedance matrix

- | Symmetry-adapted basis $\Gamma^{(\alpha,i)}$

$$\mathbf{Z}^d = \left[\Gamma^{(\alpha,i)} \right]^T \mathbf{Z} \left[\Gamma^{(\alpha,i)} \right]$$



- | Each block can be computed separately:
 - | Modes solely from species (α, i) are computed.
 - | Reduction of computational time.

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Questions?

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version 1.0

The presentation is downloadable at

[| michal-masek.cz](http://michal-masek.cz)

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